previous Regulatory Circular,[6] that are to be followed in the event that systems problems at a member firm or the Exchange temporarily prevent a CSE Dealer from providing continuous or regular quotations.

Furthermore, the Commission believes that the Circular is consistent with Section 6(b)(1) of the Act [7] in that it provides a means of notifying CSE Dealers of their compliance with the Exchange's rules regarding quotation obligations and delineates enforcement standards that will be applied by the Exchange as a result of member non-compliance with such obligations. The Circular states that members will be notified of their compliance status at least quarterly. In the event of non-compliance, the Circular provides that initial non-compliance may lead to a warning letter or a fine, while further non-compliance may lead to the imposition of sanctions, which may include a fine and deregistration in one or more issues. The Circular also states, however, that the MPC may impose sterner or swifter action, including sanctions, as it may find appropriate. The Commission would particularly expect the CSE to impose sterner sanctions for egregious or continued violations. Accordingly, given the flexibility inherent in the Circular's enforcement standards, the Commission believes that such standards should provide the Exchange with an appropriate framework to address instances of CSE Dealer non-compliance with the Exchange's rules regarding quotation obligations.

IV. Conclusion

It is therefore ordered, pursuant to Section 19(b)(2) of the Act,[8] that the proposed rule change (SR–CSE–96–08) is approved.

For the Commission, by the Division of Market Regulation, pursuant to delegated authority.[9]

Margaret H. McFarland,

Deputy Secretary.

[FR Doc. 97–619 Filed 1–9–97; 8:45 am]

BILLING CODE 8010–01–M

SOCIAL SECURITY ADMINISTRATION

Statement of Organization, Functions and Delegations of Authority

This statement amends part S of the Statement of the Organization, Functions and Delegations of Authority

which covers the Social Security Administration (SSA). Chapter S4 covers the Deputy Commissioner for Systems. Notice is given that Subchapter S4L, the Office of Telecommunications (OTC), is being deleted. Subchapter S4E, the Office of Systems Operations (OSO), is being retitled to the Office of Telecommunications and Systems Operations (OTSO), and amended to reflect the transfer of functions from OTC to OSO. The changes are as follows:

Section S4.10   *The Office of the Deputy Commissioner, Systems—* (Organization):

Delete:

I. The Office of Telecommunications (S4L).

Retitle:

D. ''The Office of Systems Operations'' (S4E) to ''The Office of Telecommunications and Systems Operations'' (S4E).

Section S4.20   *The Office of the Deputy Commissioner, Systems—* (Functions):

Delete in its entirety:

I. The Office of Telecommunications (S4L).

Retitle:

D. ''The Office of Systems Operations'' (S4E) to ''The Office of Telecommunications and Systems Operations'' (S4E).

Amend to read as follows:

D. The Office of Telecommunications and Systems Operations (OTSO) (S4E) directs, manages and coordinates the planning, acquisition, implementation, security, operation and maintenance of SSA's computer systems operations, and plans, implements and evaluates SSA's communications technology and systems. It directs and coordinates the transition, implementation and operation of current/ongoing operating systems support software, including diagnostic software. It is responsible for evaluating current and emerging communications technologies and for designing, acquiring, implementing, operating and maintaining new integrated telecommunications systems combining voice, data, video, facsimile and other SSA communications requirements. OTSO directs, manages and coordinates the planning, analysis, design, acquisition, implementation, operation and maintenance of SSA's existing telecommunications systems. It manages the telecommunications operations complexes located at the Central Office, Regional Offices and field sites. It is responsible for SSA's comprehensive voice communication management program. OTSO interfaces with other systems components in the

transition and implementation of redesigned programmatic and administrative systems to progressively replace existing application systems. It manages the computer operations complex which processes SSA's programmatic support, administrative, management information and statistical application systems. OTSO conducts continuing assessments and engineering analyses of the computer operations, as well as equipment performance analyses and coordinates the implementation of necessary improvements to existing resources. It directs and coordinates the activities associated with the planning, management, acquisition, procurement and renewal of ADP equipment, software and technical services for SSA to maintain operational systems and to prevent progressive deterioration. OTSO develops, controls and implements operational plans which include the preparing of technical specifications, evaluation criteria, acceptance test criteria, facilities engineering plans and budget estimates to maintain operational systems. It advises the Deputy Commissioner, SSA Executive Staff and external monitoring authorities such as the General Services Administration, the General Accounting Office, the Office of Management and Budget and Congress on SSA's computer systems operations.

Delete: Existing Subchapter S4L, the Office of Telecommunications.

Retitle: Existing Subchapter S4E, ''The Office of Systems Operations'' (S4E) to ''The Office of Telecommunications and Systems Operations'' (S4E).

Change all references to the ''Office of Systems Operations'' to the ''Office of Telecommunications and Systems Operations'' and all references to ''OSO'' to ''OTSO'' throughout all Subchapters of Chapter 4.

Section S4E.00   *The Office of Telecommunications and Systems Operations—*(Mission):

Amend to read as follows:

The Office of Telecommunications and Systems Operations (OTSO) (S4E) directs, manages and coordinates the planning, acquisition, implementation, security, operation and maintenance of SSA's computer systems operations and the telecommunications systems. It directs and coordinates the transition, implementation and operation of current/ongoing operating systems support software, including diagnostic software. It is responsible for evaluating current and emerging communications technologies and for designing, acquiring, implementing, operating and maintaining new integrated telecommunications systems combining

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[6] *See* CSE Regulatory Circular 96–07.

[7] 15 U.S.C. 78f(b)(1).

[8] 15 U.S.C. 78s(b)(2).

[9] 17 CFR 200.30–3(a)(12).

voice, data, video, facsimile, and other SSA communications requirements. OTSO designs and implements the critical interface between telecommunications facilities and teleprocessing complexes. OTSO manages the telecommunications operations complexes located at the Central Office, Regional Offices and field sites. It is responsible for SSA's comprehensive voice communication management program. OTSO manages the implementation of production application software at all network platforms and interfaces other systems components in the transition and implementation of redesigned programmatic, and administrative systems to progressively replace existing application systems. OTSO administers all activities pertaining to configuration management, change management and problem management with respect to ongoing and telecommunications operations. It manages the computer operations complex which processes SSA's programmatic support, administrative, management information and statistical application systems. OTSO conducts continuing assessments and engineering analyses of the computer operations, as well as equipment performance analyses and coordinates the implementation of necessary improvements to existing resources. It directs and coordinates the activities associated with the planning, management, acquisition, procurement and renewal of all ADP equipment, software and technical services for SSA to maintain operational and telecommunications systems and to prevent progressive deterioration. OTSO develops, controls and implements operational plans which include the preparing of technical specifications, evaluation criteria, acceptance test criteria, facilities engineering plans and budget estimates to maintain operational and telecommunications systems. It advises the Deputy Commissioner, SSA Executive Staff and external monitoring authorities such as the General Services Administration, the General Accounting Office, the Office of Management and Budget and Congress on SSA's computer systems operations.

Section S4E.10 *The Office of Telecommunications and Systems Operations*—(Organization):

C. The Immediate Office of the Associate Commissioner for Telecommunications and Systems Operations (S4E), which includes:
Establish:
1. The Distributed Data Processing Management Staff (S4E–1).
Retitle:

H. ''The Division of Standards and Control'' (S4EK) to ''The Division of Telecommunications Security and Standards'' (S4EK).

I. ''The Division of Operational Resource Management'' (S4EL) to ''The Division of Resource Management and Acquisition'' (S4EL).

K. ''The Division of Teleprocessing Systems Operations'' (S4EN) to ''The Division of Telecommunications Systems'' (S4EN).
Establish:
L. The Division of Integrated Telecommunications Management (S4EP).

M. The Division of National Network Services and Operations (S4EQ).

N. The Division of Network Engineering (S4ER).

Section S4E.20 *The Office of Telecommunications and Systems Operations*—(Functions):

C. The Immediate Office of the Associate Commissioner for Telecommunications and Systems Operations (S4E) provides the Associate Commissioner and Deputy Associate Commissioner with staff assistance on the full range of their responsibilities.
Establish:
1. Distributed Data Processing Management Staff (S4E–1).

a. Directs the plans and activities to implement distributed data processing systems across SSA.

b. Initiates major program, subprogram, project and task activities in support of the implementation of Distributed Data Processing Management Staff (DDPMS) plans outlined in the Integrated Systems Plan and the Agency Strategic Plan.

c. Oversees/coordinates all DDPMS implementation activity among all systems components including the incorporation of office automation, programmatic systems, existing distributed-type systems, stand-alone personal computer-based systems, pilot systems and user-developed systems into a unified distributed processing environment.

d. Develops and manages the DDPMS procurement plan, outlining all acquisitions related to the project. Manages the development of distributed data processing acquisitions in the Intelligent Workstation/Local Area Network (IWS/LAN) areas.

e. Develops and manages the delivery, implementation and acceptance plans for DDPMS acquisitions.

f. Manages the evaluation process for all technology substitutions, technology refreshments, upgrades and unsolicited proposals for DDPMS. Manages the administration of DDPMS contracts to include amendments, cancellations and renewals.

g. Establishes and maintains the coordination and liaison interfaces to all other systems components, all SSA central office and field components and external committees, conferences and organizations involved in and affected by DDPMS.

h. Approves technical specifications, technical evaluation criteria, technology substitution specifications for DDPMS-related workstation, network and application acquisitions.

i. Directs project activities to ensure that SSA-level DDPMS initiatives maintain compatibility with Governmentwide Information Technology Systems (ITS) standards.

G. The Division of Operational Capacity Performance Management (S4EJ).
Add:
6. Responsible for analysis of configuration, topology, connectivity, automation and availability of SSA's national network in support of performance management, resource utilization and capacity planning.

7. Performs network modeling and analysis of new applications and designs to determine network performance impacts. Projects future capacity requirements for network components and continually monitors performance to validate projections.
Retitle:
H. The ''Division of Standards and Control'' (S4EK) to ''Division of Telecommunications Security and Standards'' (S4EK).
Amend to read as follows:
7. Manages a centralized inventory of all SSA ITS and telecommunications equipment, and manages the ITS excess equipment process.
Delete ''8'' in its entirety.
Retitle:
I. The ''Division of Operational Resource Management'' (S4EL) to ''Division of Resource Management and Acquisition'' (S4EL)
Amend to read as follows:
1. Directs OTSO's participation in the Information Technology Systems (ITS) procurement process. Manages, plans and coordinates the activities relating to business and financial planning of SSA's telecommunications needs.

2. Performs technical and cost reviews of all OTSO/ITS procurements. Performs technical review of procurement proposals for ITS resources, network hardware, software and related services.

11. Develops short-term and long-range tactical planning and maintains the OTSO macroprocurement plan which relates to planned acquisitions of ITS and telecommunications equipment, software, system design and

system support services and implementation of telecommunication expansion.

Delete "8" in its entirety.

Renumber:

"9" through "13" to read "8" through "12".

J. The Division of Integration and Environmental Testing (S4EM).

Add:

12. Supports operating system and connectivity software on the LANs and IWS. It researches and tests current off-the-shelf products for their network configuration to LAN and workstation needs.

13. Performs systems analysis, configuration design, software selection, implementation and procurement support for micro-computers, minicomputers and computer graphics systems and equipment for various components of OTSO. Provides state-of-the-art technical expertise including the evaluation of new and existing systems activities and provides support for enhancements, modifications, design and/or redesign. It researches and tests current off-the-shelf products for their network configuration to LAN and workstation needs. Researches and analyzes emerging office systems developments to ensure technology awareness and provide supporting systems development, design and planning implementation.

14. Manages the OTSO technical training program. Assesses needs, and formulates and executes strategies to upgrade individual knowledge and skills levels.

Retitle:

K. The "Division of Teleprocessing Systems Operations" (S4EN) to "Division of Telecommunications Systems" (S4EN).

Delete "4", and "7" in their entirety.

Renumber "5" to "4", and "6" to "5".

Establish:

L. The Division of Integrated Telecommunications Management (S4EP).

1. Plans and manages the strategic and tactical direction of the SSA voice communications and voice-data integration programs.

2. Provides technical and analytical support for the National 800 Number and other communications initiatives and programs.

3. Provides and manages voice communications systems hardware, software, services and ancillary equipment for SSA nationwide.

4. Directs the acquisition, operations, maintenance, retention and disposal of voice communications systems and services SSA-wide. Develops and administers voice communications ITS contracts.

5. Administers Federal Telecommunication System (FTS) 2000 services SSA-wide and supports OTSO in representing SSA in all related negotiations within SSA and with GSA and FTS vendors and carriers.

6. Directs the evaluation, acquisition, installation, operation and disposal of voice communications systems and services for SSA nationwide.

7. Serves as the SSA focal point for voice communications capacity planning.

8. Manages SSA-wide programs for imaging, video, facsimile, satellite, radio and emergency communications.

9. Manages SSA headquarters voice communications systems.

10. Serves as SSA-level liaison with Federal, State and other government and private-sector entities on voice communications and voice-data integration.

11. Manages within SSA the development and application of emerging voice communications technology.

12. Manages technical solutions for "800" and other toll-free services SSA-wide.

13. Manages the acquisition of data circuits.

Establish:

M. The Division of National Network Services and Operations (S4EQ).

1. Manages the installation, relocation and operation of SSA's telecommunications network facilities for the transmission of program and management data over SSA established networks.

2. Monitors telecommunications operations, analyzes equipment problems and effects proper maintenance and repair.

3. Develops and directs the implementation of new procedures and updates existing procedures for network node operations.

4. Reports outages to vendor management for prompt resolution and is responsible for the repair of advanced communications electronics equipment.

5. Provides emergency support services for equipment reconfiguration as well as repair, assembly/disassembly and installation of advanced telecommunications electronics.

6. Serves as the initial point of contact for user and technical problem determination for telecommunications. Diagnoses data-center hardware and network problems and coordinates network operations issues with applications and systems support staff.

7. Monitors and controls functions for the nationwide telecommunications system. Develops operational procedures to modernize and streamline network operation and develops plans for automation.

8. Manages traffic flow between telecommunications complexes and other SSA complexes.

9. Communicates status of the network to other network nodes and advises users of abnormal or extraordinary situations affecting network operations.

10. Monitors voice communications operations, analyzes equipment problems and effects proper maintenance and repair.

11. Directs all teleprocessing system software problem determination and resolution.

12. Coordinates with other OSO components in addressing teleprocessing software concerns regarding system capacity issues and system configuration proposals.

13. Operates and maintains an integrated systems and technical coordination control center and help desk to coordinate problem identification and resolution activities.

Establish:

N. The Division of Network Engineering (S4ER).

1. Directs the design, development, implementation, maintenance and support of specialized data communications software (i.e., Email and Internet) to support SSA's international network (SSANet).

2. Researches network prototypes and performs testing of new network technologies and implements and monitors network standards.

3. Supports SSA components as well as other Government agencies to provide optimum network interface design, management capabilities, connectivity, availability and response time.

4. Integrates and validates new network hardware such as assistive devices, software products, versions and maintenance levels into SSANet and SSANet connectivity management.

5. Manages and coordinates all change management system control relating to network hardware and software changes to SSANet under the auspices of the change management facility.

6. Performs Level 3 network monitoring and problem determination for the SSANet.

7. Develops and implements a network backup recovery.

8. Performs network software planning, installation and management at all remote sites.

9. Serves as the SSA-level liaison with Federal, State, and local Government agencies and with the private sector to integrate them into the SSA network.

10. Responsible for SSANet software distribution and version management.

11. Interfaces with SSANet users to determine the impact of new applications and workloads and supports user liaison and systems development activities of other SSA components in the resolution of network technical and operational problems.

12. Manages communications software changes to ensure compatibility with hardware modifications at Central Office and all remote network platform locations.

13. Directs the planning, analysis and design of specialized network software systems for providing information relevant to the development of existing and proposed data communications systems.

14. Responsible for all aspects of engineering, design, configuration, implementation and support of LAN Operating System (OS) software, telecommunications and connectivity service functions at SSA.

15. Responsible for telecommunications and connectivity projects, including acquisition, implementation, integration and control.

16. Develops, disseminates and enforces standards and policies relating to workstations, workstation configurations, peripherals, LANs, LAN OS, local bridges and routers and related customer support and service.

17. Works with SSA users to provide solutions to LAN telecommunications needs that are consistent with SSA-network architecture policies; determines network and interfacing hardware needs, implementing solutions, planning and expansion; and determines staff hardware training needs. It assists SSA telecommunications users in determining and refining services and support requirements, configuration and engineering solutions, planning for future needs, coordinating implementation and evaluating effectiveness.

18. Provides a full range of initial and followup telecommunications and connectivity services and support for SSA users in network requirements analysis, system design, LAN needs determination, engineering, implementation, network control, OS software support and training.

19. Develops and distributes research papers on applied technology and its relationship to existing and future telecommunications and connectivity requirements. It also develops alternate systems configurations to meet specific alternative requirements (non-traditional technology approaches).

20. Solves network problems by applying information on state-of-the-art OS, telecommunications and connectivity software and hardware currently available in the marketplace. It develops turn-key telecommunications systems and special menus to meet unusual customer requirements.

21. Responsible for all aspects of network design, network engineering, network connectivity, development and customization of network management processes/tools, network automation processes and network performance.

Dated: November 15, 1996.

Shirley S. Chater,
Commissioner of Social Security.
[FR Doc. 97–583 Filed 1–9–97; 8:45 am]
BILLING CODE 4190–29–P

DEPARTMENT OF TRANSPORTATION

Office of the Secretary

Reports, Forms and Recordkeeping Requirements; Agency Information Collection Activity Under OMB Review

AGENCY: Department of Transportation (DOT), Office of the Secretary (OST).
ACTION: Notice.

SUMMARY: In compliance with the Paperwork Reduction Act (44 U.S.C. 3501 *et seq.*), this notice announces that the Information Collection Request (ICR) abstracted below has been forwarded to the Office of Management and Budget (OMB) for review and comment. The ICR describes the nature of the information collection and its expected cost and burden. The Federal Register Notice with a 60-day comment period soliciting comments on the following collection of information was published on August 27, 1996 [FR 61, page 44118].
DATES: Comments must be submitted on or before February 10, 1997.
FOR FURTHER INFORMATION CONTACT: Richard Weaver, 400 Seventh Street, SW., Washington, DC 20590. Telephone 202–366–2811.

SUPPLEMENTARY INFORMATION:

Maritime Administration

Title: Application for Construction Reserve Fund and Annual Statements.
Type of Request: Extension of currently approved information collection.
OMB Control Number: 2133–0032.
Affected Public: U.S. citizens who own or operate one or more vessels in the foreign or domestic commerce of the United States and wish to receive benefits under the CRF program.
Abstract: The collection consists of an application required from all citizens who own or operate vessels in the U.S. foreign or domestic commerce and desire ''tax'' benefits under the Construction Reserve Fund (CRF) program. The annual statements set forth a detailed analysis of the status of the CRF when each income tax return is filed. Checks for withdrawals from the CRF must be sent to MARAD for countersignature and return for effecting the withdrawal.

Need and Use of the Information: The application is required in order for MARAD to determine whether the applicant qualifies for the benefits and for the applicant to obtain benefits under the CRF program. The annual statements are required from each respondent in order for MARAD to assure that the requirements of the program are being satisfied.
Annual Burden: 54 hours.

ADDRESSES: Send comments to the Office of Information and Regulatory Affairs, Office of Management and Budget, 725–17th Street, NW., Washington, DC 20503, Attention DOT Desk Officer.

Comments are Invited on: whether the proposed collection of information is necessary for the proper performance of the functions of the Department, including whether the information will have practical utility; the accuracy of the Department's estimate of the burden of the proposed information collection; ways to enhance the quality, utility and clarity of the information to be collected; and ways to minimize the burden of the collection of information on respondents, including the use of automated collection techniques or other forms of information technology.

Issued in Washington, DC, on January 6, 1997.

Phillip A. Leach,
Clearance Officer, United States Department of Transportation.
[FR Doc. 97–607 Filed 1–9–97; 8:45 am]
BILLING CODE 4910–62–P

Notice of Applications for Certificates of Public Convenience and Necessity and Foreign Air Carrier Permits Filed Under Subpart Q During the Week Ending January 3, 1997

The following Applications for Certificates of Public Convenience and Necessity and Foreign Air Carrier Permits were filed under Subpart Q of the Department of Transportation's Procedural Regulations (See 14 CFR 302.1701 *et. seq.*). The due date for Answers, Conforming Applications, or Motions to modify Scope are set forth below for each application. Following the Answer period DOT may process the